

Making Neural Programming Architectures Generalize via Recursion

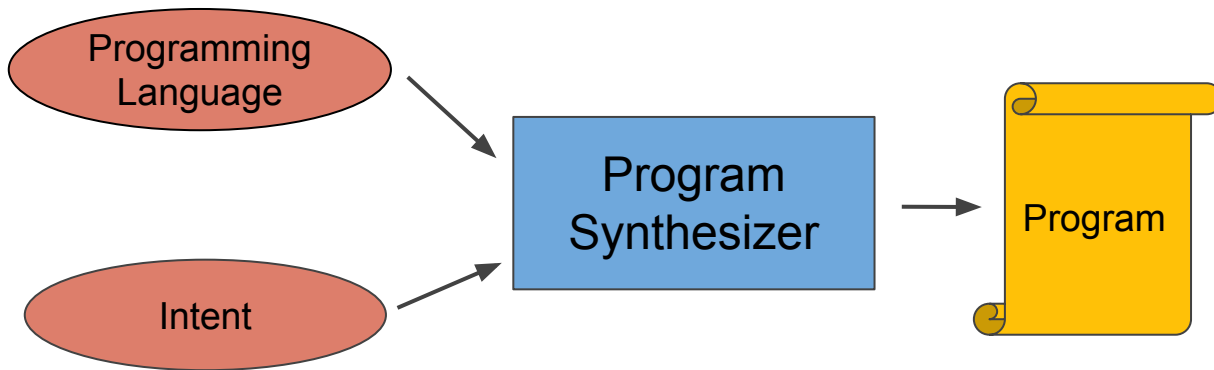
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University of California, Berkeley



Program Synthesis



Example Applications:

- End-user programming
- Performance optimization of code
- Virtual assistant

Neural Program Synthesis

**Training
data**

123
234
↓
357

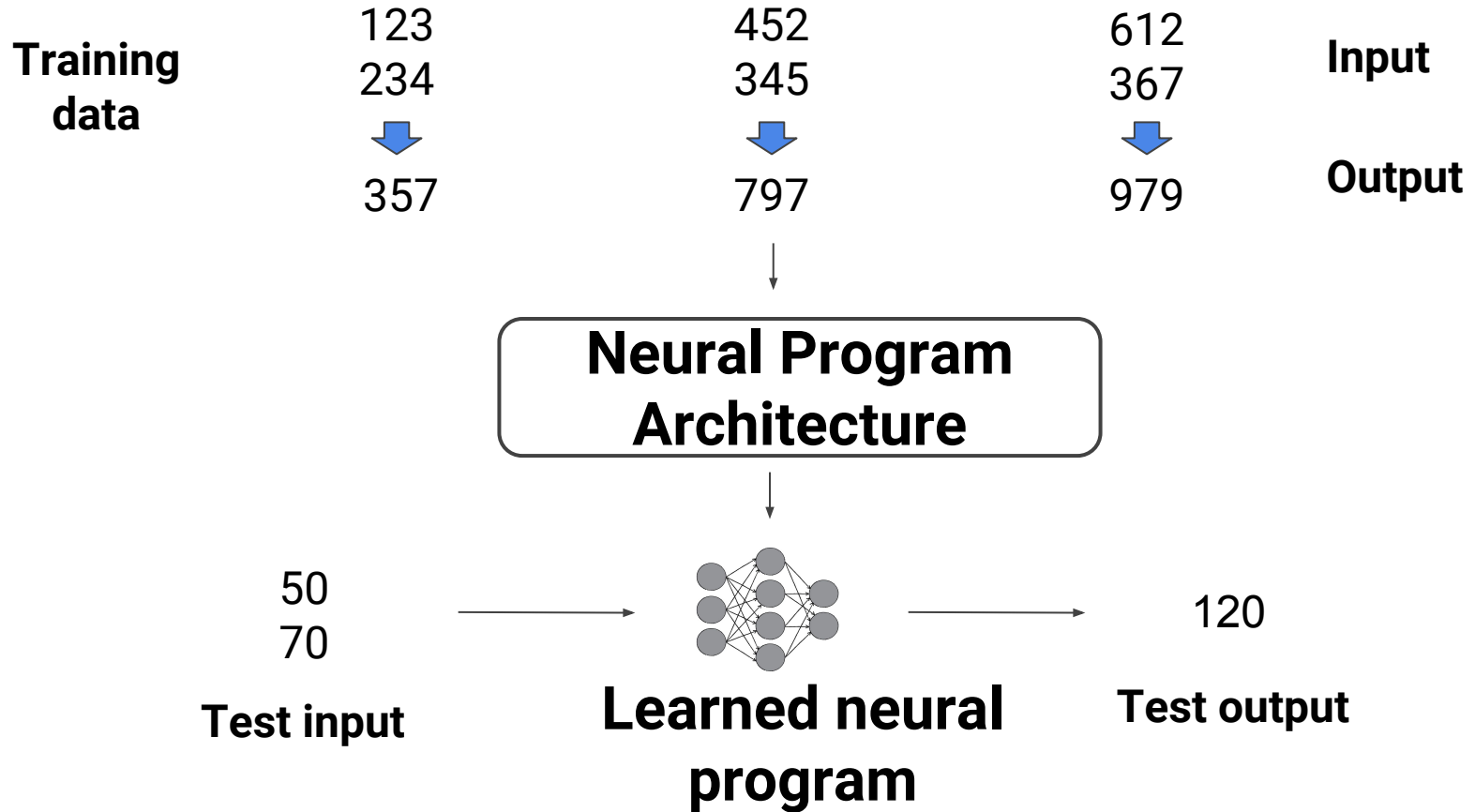
452
345
↓
797

612
367
↓
979

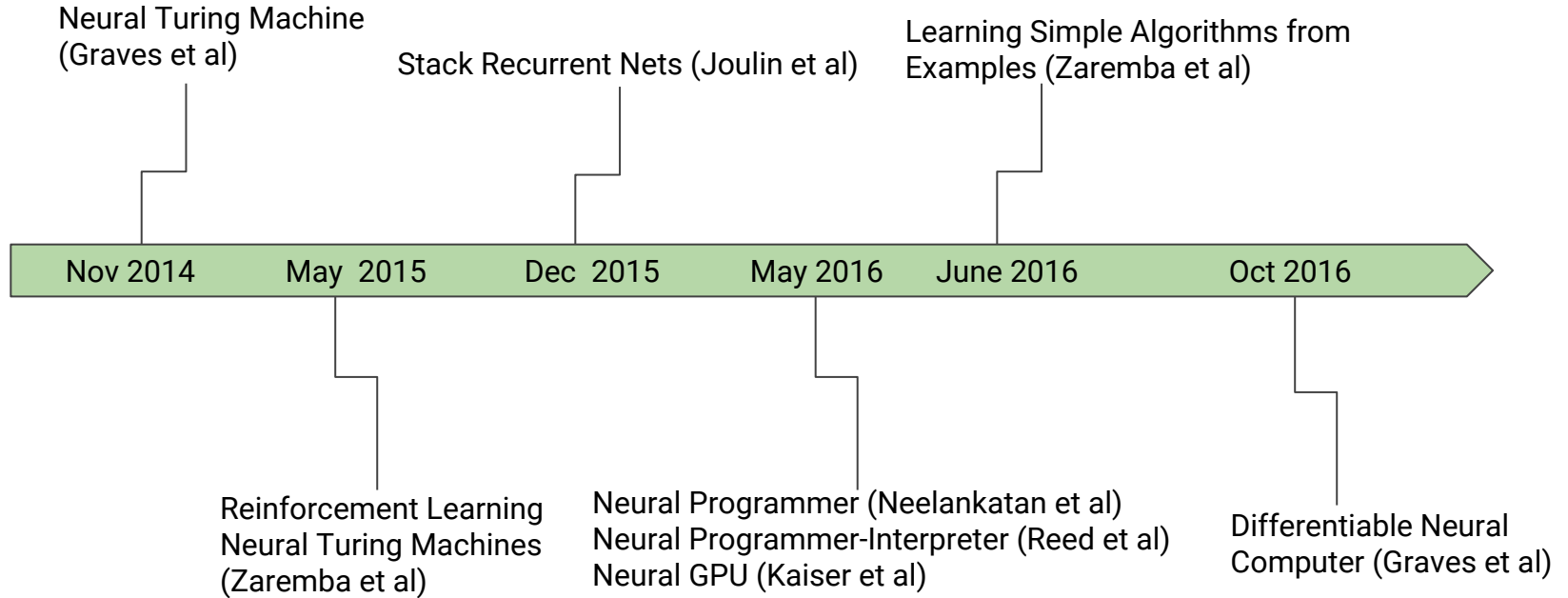
Input

Output

Neural Program Synthesis

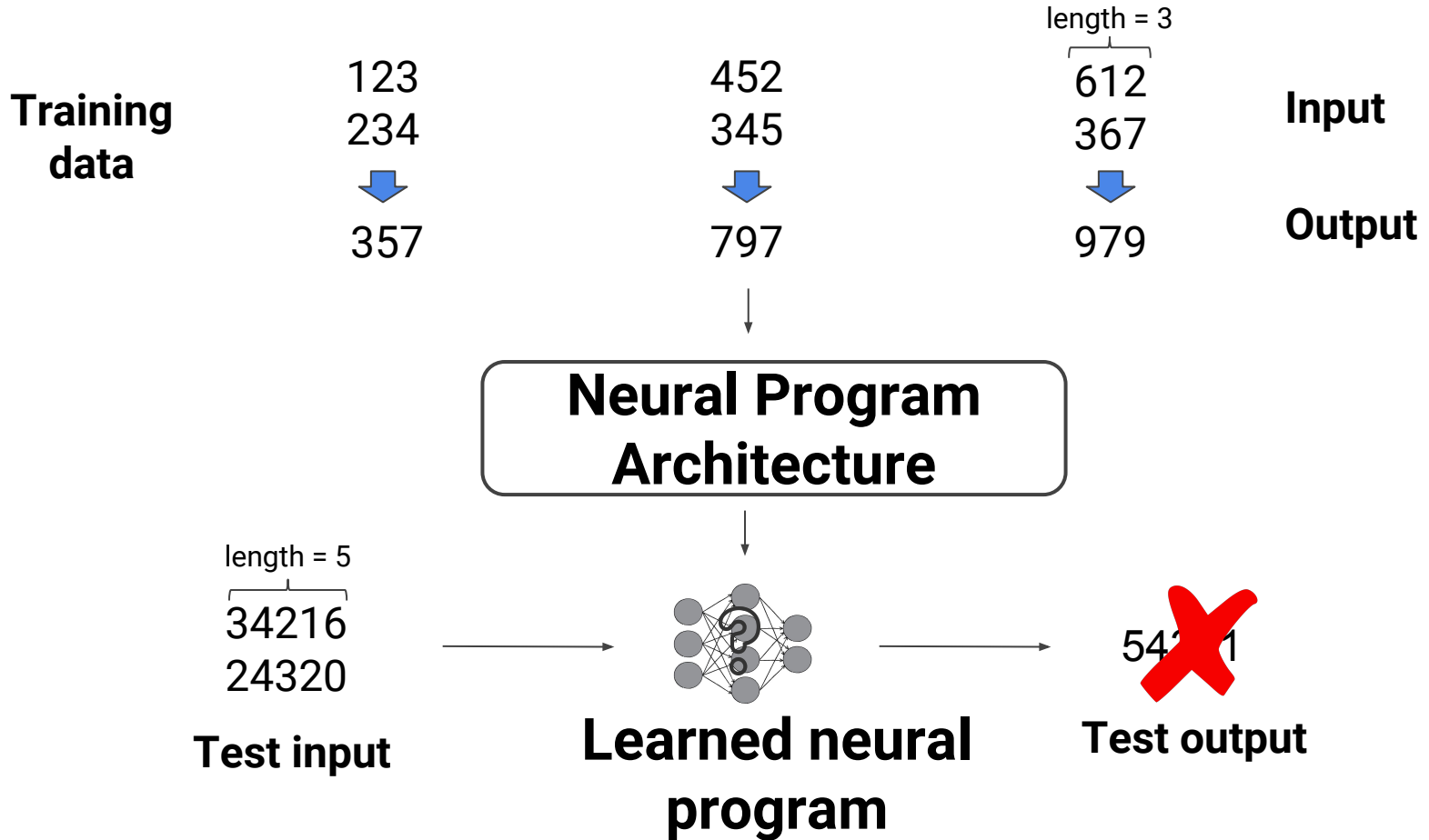


Neural Program Architectures

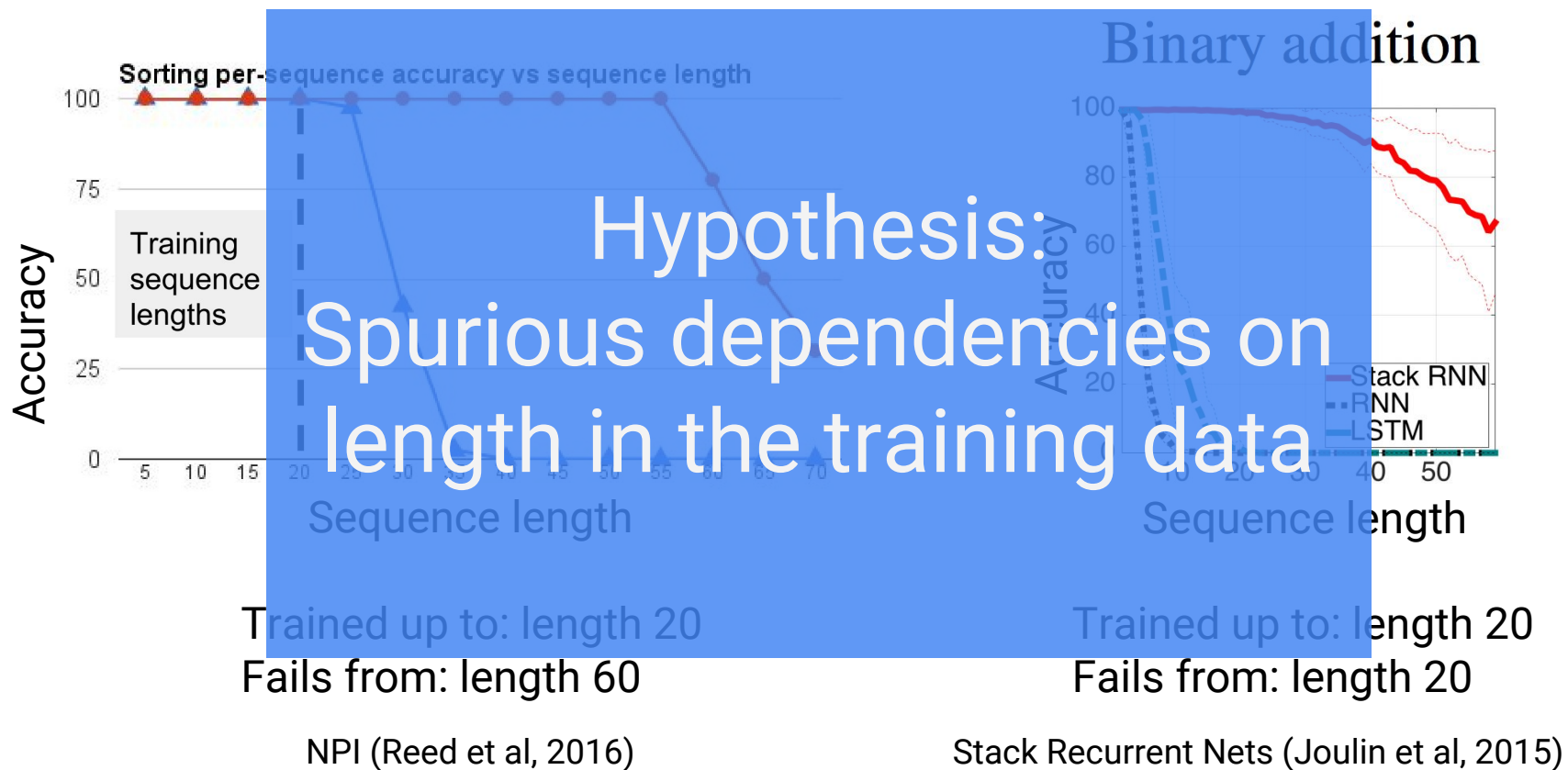


Neural Program Synthesis Tasks: Copy, Grade-school addition, Sorting, Shortest Path

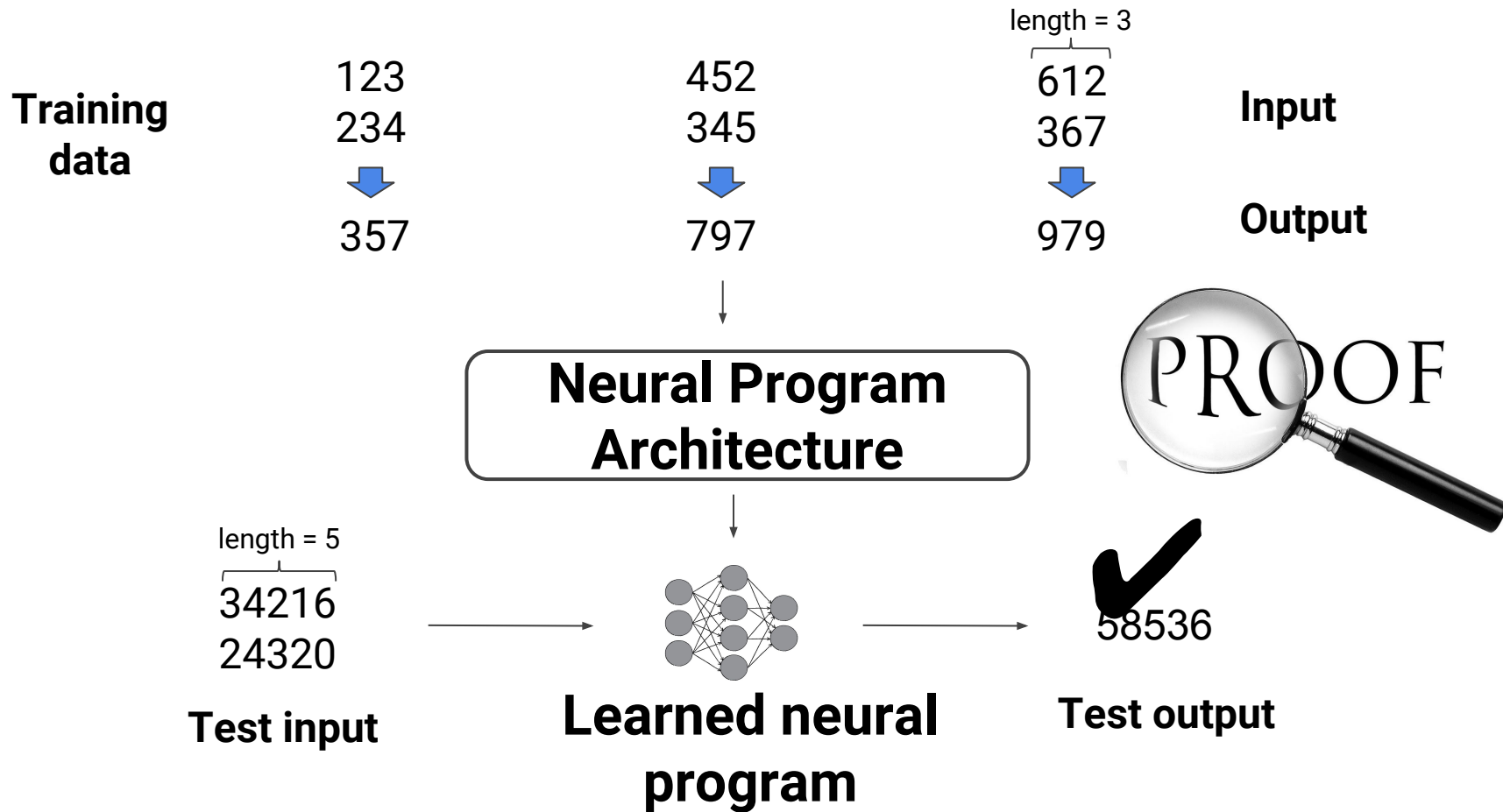
Challenge 1: Generalization



Challenge 1: Existing Neural Program Architectures Do Not Generalize Well



Challenge 2: No Proof of Generalization



Problem Statement

For program synthesis tasks like addition and sorting:

- What challenges are we trying to address?
 - Generalization to more complex inputs
 - Proof of generalization
- Which approach will solve these challenges?
- How do we implement the approach?

Our Approach: Introduce Recursion

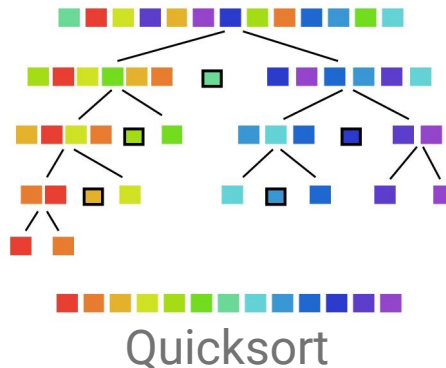
Learn recursive neural programs

Recursion

Fundamental concept in Computer Science and Math.

Solve whole problem by reducing it to smaller subproblems (*reduction rules*).

Base cases (smallest subproblems) are easier to reason about.



Our Contributions

For program synthesis tasks like addition and sorting:

- What challenges are we trying to address?
 - ✓ Generalization to more complex inputs
 - ✓ Proof of generalization
- Which approach will solve these challenges?
 - *Recursion* in neural programs
- How do we implement the approach?
 - Instantiation: Incorporate recursion into Neural Programmer-Interpreter
 - Training method: As a first step, strong supervision with *explicitly recursive execution traces* to learn a recursive neural program

Main Contribution!



Outline

Challenges in Neural Program Architectures

Overview of Our Approach: Recursion

→ **Background: Neural-Programmer Interpreter**

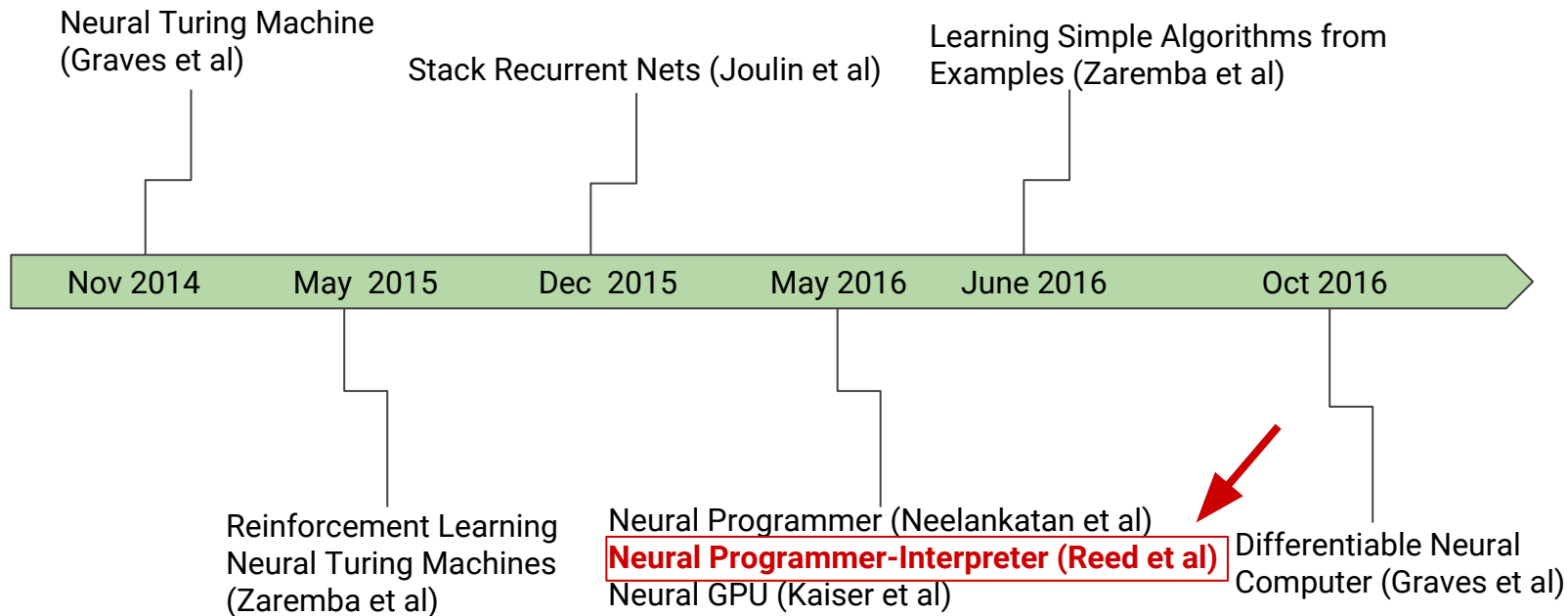
Learning Recursive Neural Programs

Provably Perfect Generalization

Experimental Results

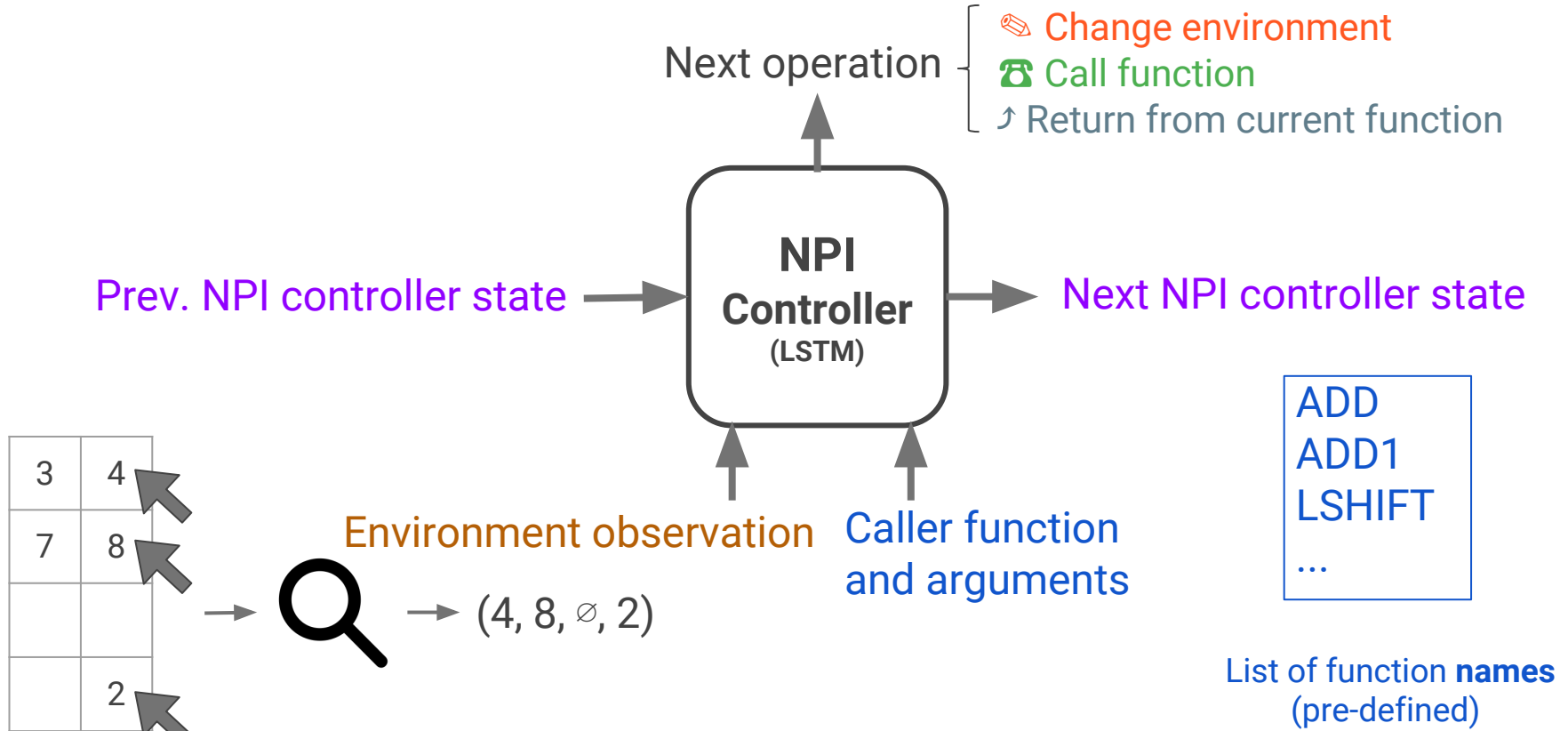
Conclusion

Neural Program Architectures



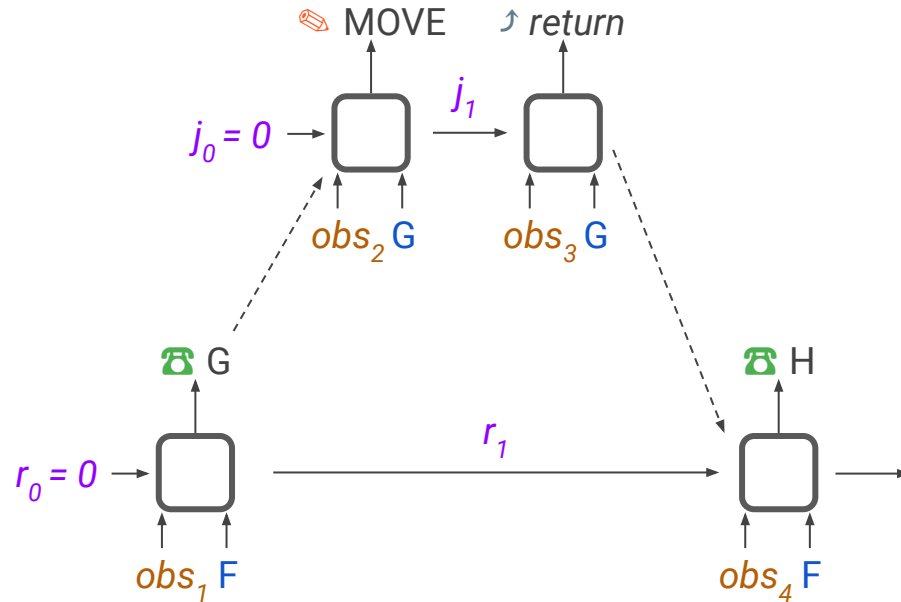
Neural Program Synthesis Tasks: Copy, Grade-school addition, Sorting, Shortest Path

Neural Programmer-Interpreter (NPI)



Execution of NPI

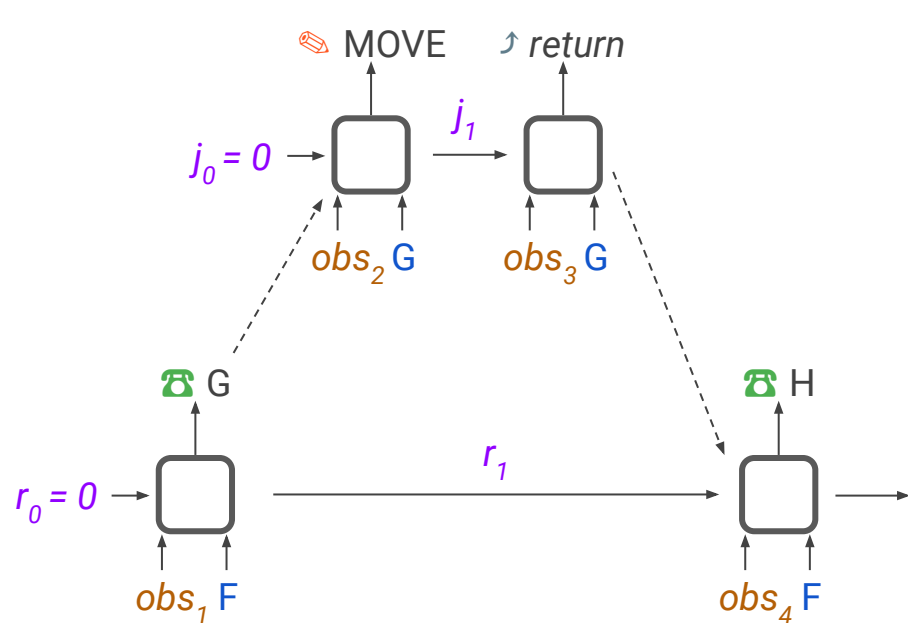
Calling a function creates a new NPI controller state (LSTM hidden state).



: change environment : call function


Execution traces in NPI

The sequence of operations forms an execution trace.




 F

$obs_1, F \rightarrow$  G

$obs_2, G \rightarrow$  MOVE

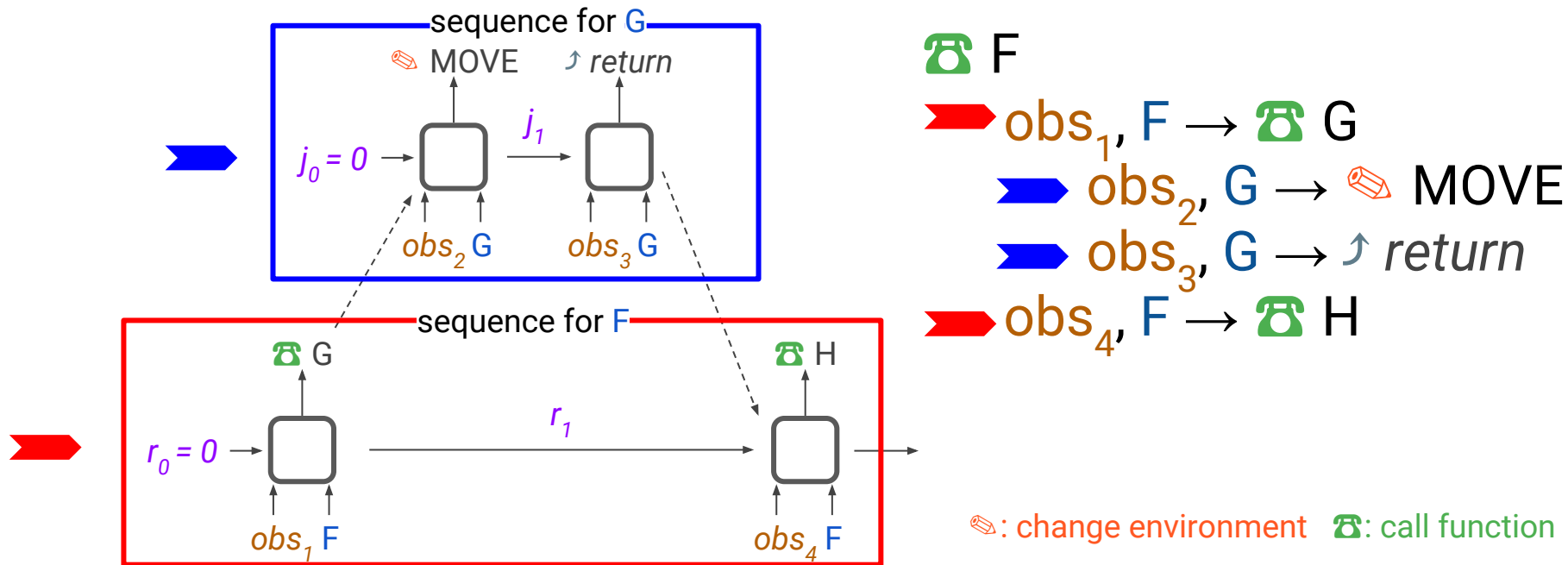
$obs_3, G \rightarrow$ \uparrow return

$obs_4, F \rightarrow$  H

 : change environment  : call function

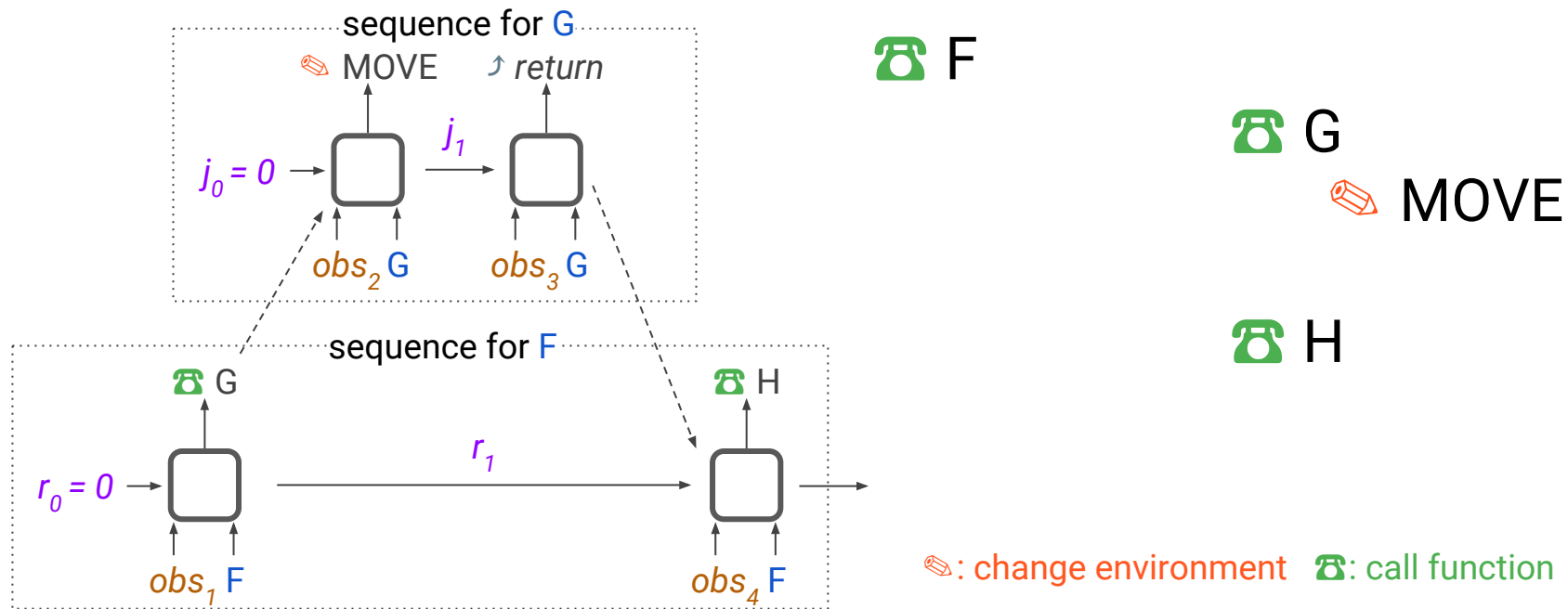
Training NPI with Execution Traces

Execution trace divided into training sequences, according to the caller function.



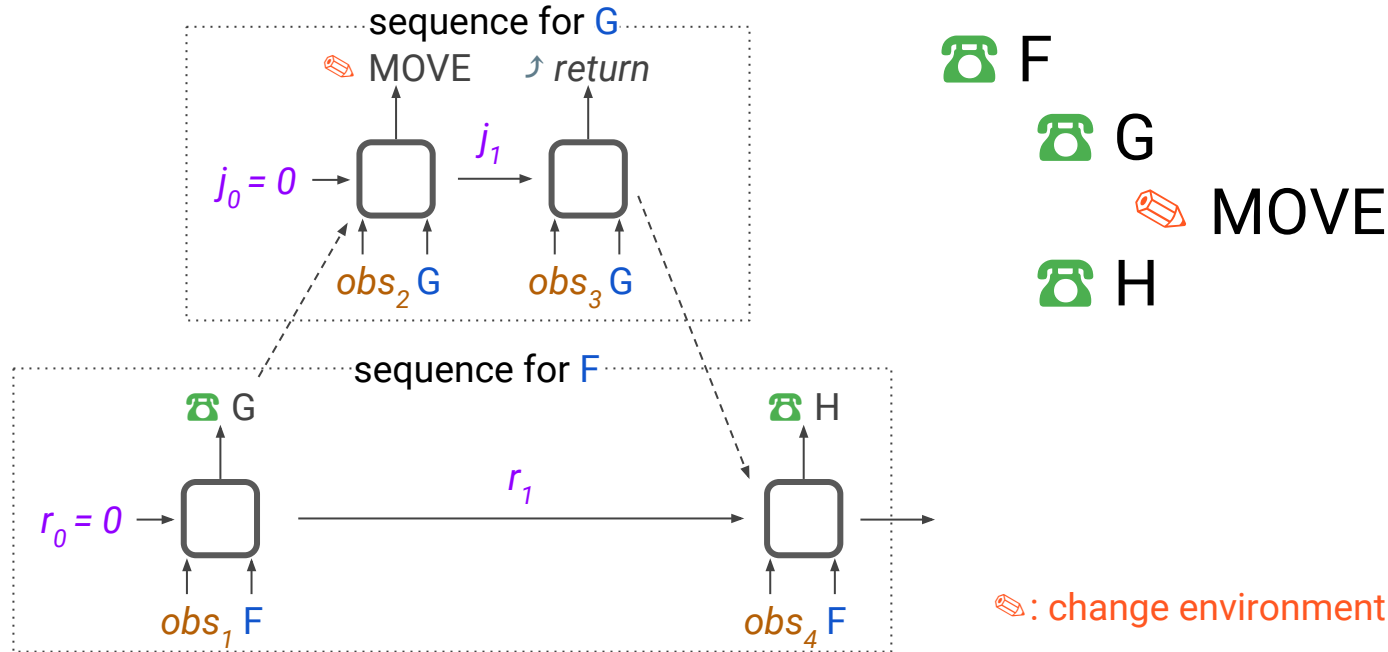
Simplified Execution Traces

For brevity, we omit details in the trace.



Simplified Execution Traces

For brevity, we omit details in the trace.







NPI Trains on Execution Traces, Not Input-Output Pairs

The training data for each architecture:

Input	123	452	612
	234	345	367
	↓	↓	↓
Output	357	797	979

Neural Turing Machine
Neural GPU
Differentiable Neural Computer
etc.

 F
 G
 MOVE
 H

NPI

Outline

Challenges in Neural Program Architectures

Overview of Our Approach: Recursion

Background: Neural-Programmer Interpreter

→ **Learning Recursive Neural Programs**

Provably Perfect Generalization

Experimental Results

Conclusion

Learn recursive neural programs



Incorporate recursion into NPI

What is a Recursive NPI Program?

Trace from an example recursive NPI program: 📞 ADD calls itself

📞 **ADD**

📞 ADD1 } Repeated inside
📞 LSHIFT } **one** function call

📞 ADD1
📞 LSHIFT
📞 ADD1
📞 LSHIFT

...

**Execution trace of
non-recursive program
(previous work)**

📞 **ADD**

📞 ADD1
📞 LSHIFT
📞 **ADD**

📞 ADD1
📞 LSHIFT
📞 **ADD**

...

**Execution trace of
recursive program
(our work)**

Recursive
calls

Grade-School Addition

From right to left (smallest to largest position):

1. Add three values in the column.
2. If resulting sum exceeds 10, put a 1 in the next carry position.

		1	1		carry
	1	2	3	4	1st number
+	5	6	7	8	2nd number
<hr/>					
	6	9	1	2	output

Grade-School Addition

Scratchpad (environment):

INP1		1	2	3	4
INP2		5	6	7	8
CARRY					
OUT					

Observation: value at each pointer;
in this example, (4, 8, \emptyset , \emptyset)

Three functions

ADD1: adds 1 column

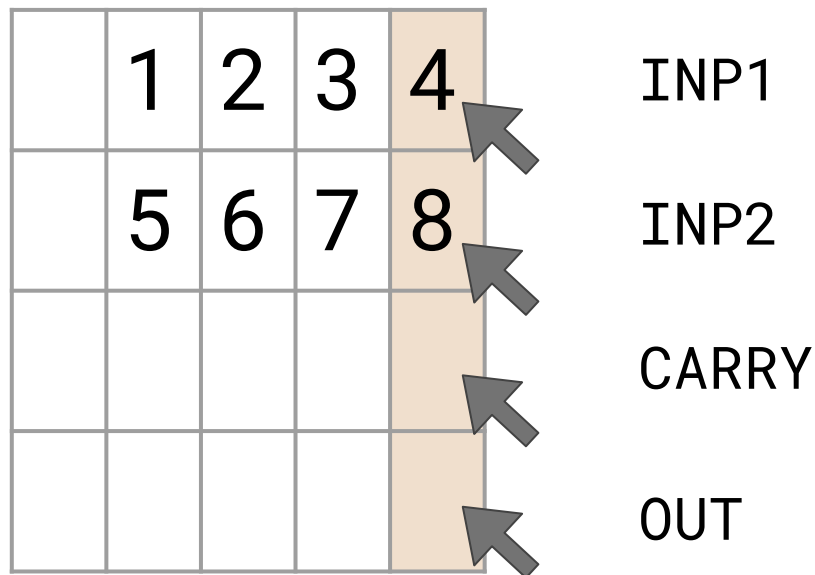
LSHIFT: move to next column

CARRY: write carry digit if needed

Non-Recursive Grade-School Addition

: change environment : call function

 ADD
 ADD1

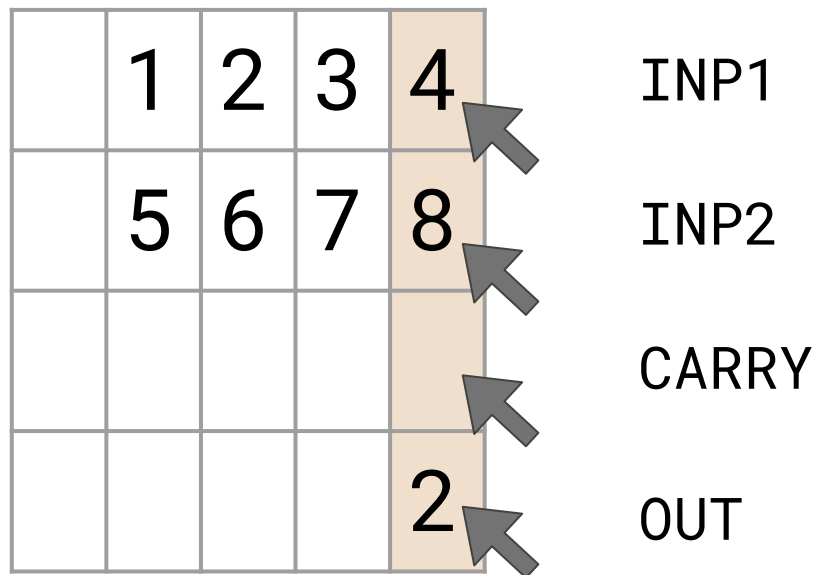


NPI (Reed et al, 2016)

Non-Recursive Grade-School Addition

: change environment : call function

 ADD
 ADD1
 WRITE OUT 2



NPI (Reed et al, 2016)

Non-Recursive Grade-School Addition

: change environment : call function

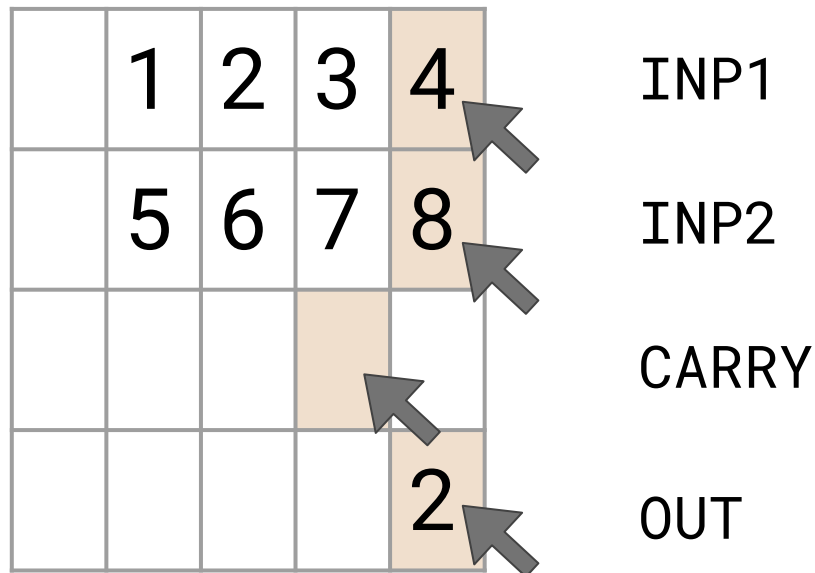
 ADD

 ADD1

 WRITE OUT 2

 CARRY

 PTR CARRY LEFT



NPI (Reed et al, 2016)

Non-Recursive Grade-School Addition

: change environment : call function

 ADD

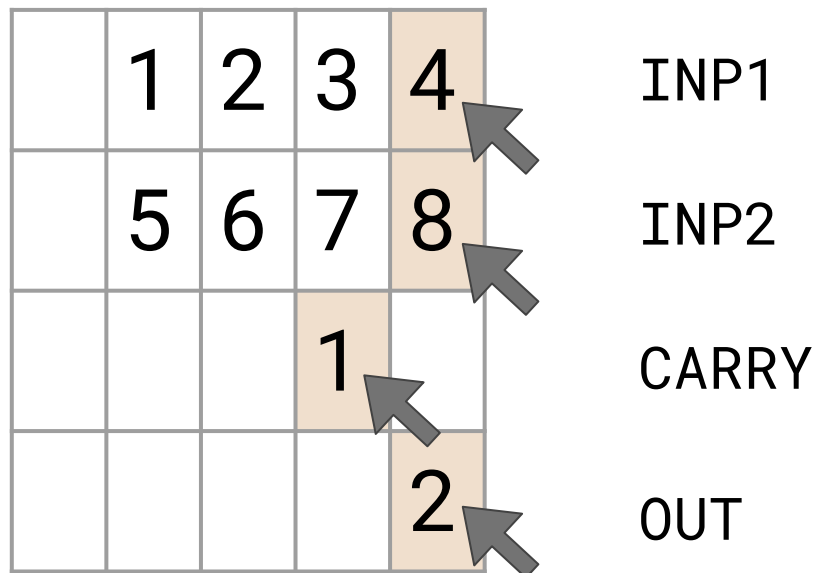
 ADD1

 WRITE OUT 2

 CARRY

 PTR CARRY LEFT

 WRITE CARRY 1



NPI (Reed et al, 2016)

Non-Recursive Grade-School Addition

: change environment : call function

 ADD

 ADD1

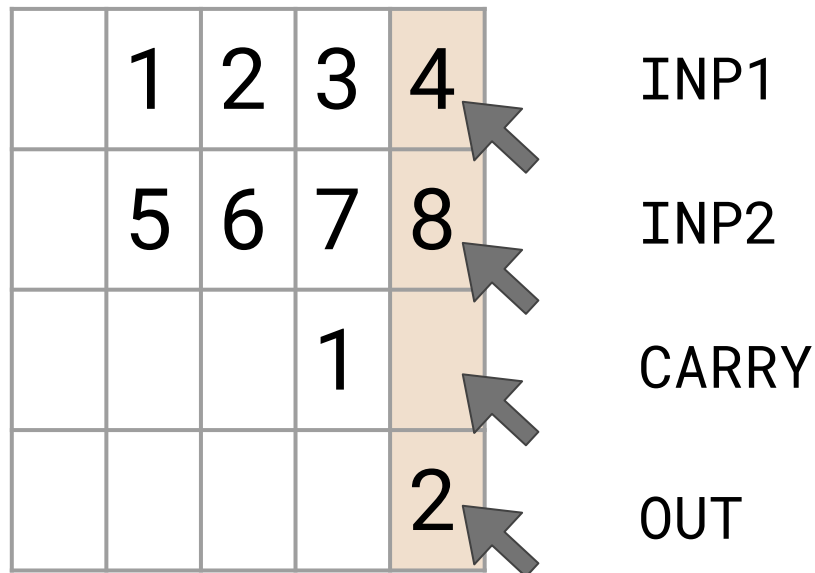
 WRITE OUT 2

 CARRY

 PTR CARRY LEFT

 WRITE CARRY 1

 PTR CARRY RIGHT



NPI (Reed et al, 2016)

Non-Recursive Grade-School Addition

: change environment : call function

 ADD

 ADD1

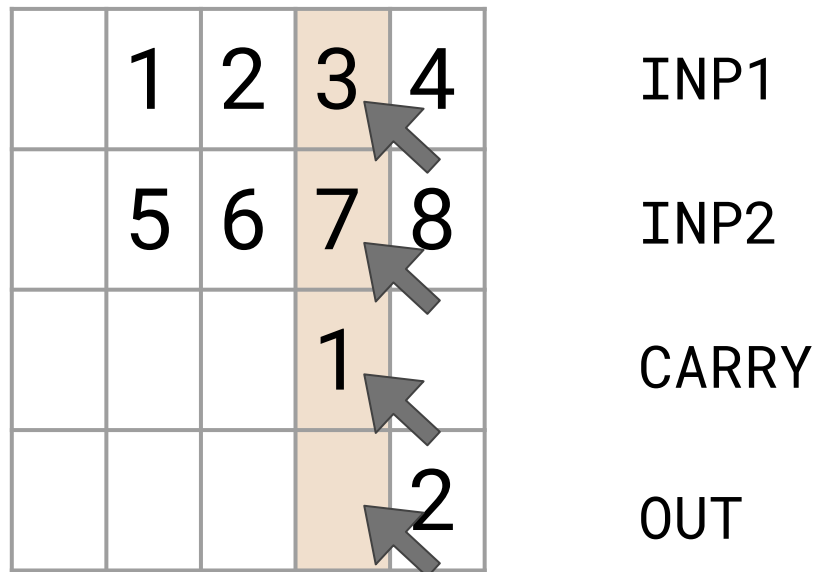
 LSHIFT

 PTR INP1 LEFT

 PTR INP2 LEFT

 PTR CARRY LEFT




 PTR OUT LEFT

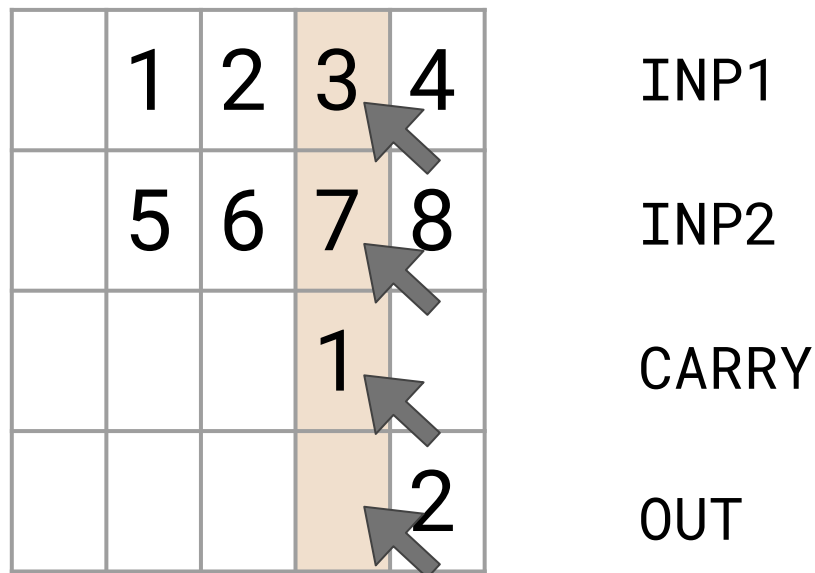


NPI (Reed et al, 2016)

Non-Recursive Grade-School Addition

: change environment : call function






 ADD
 ADD1
 LSHIFT

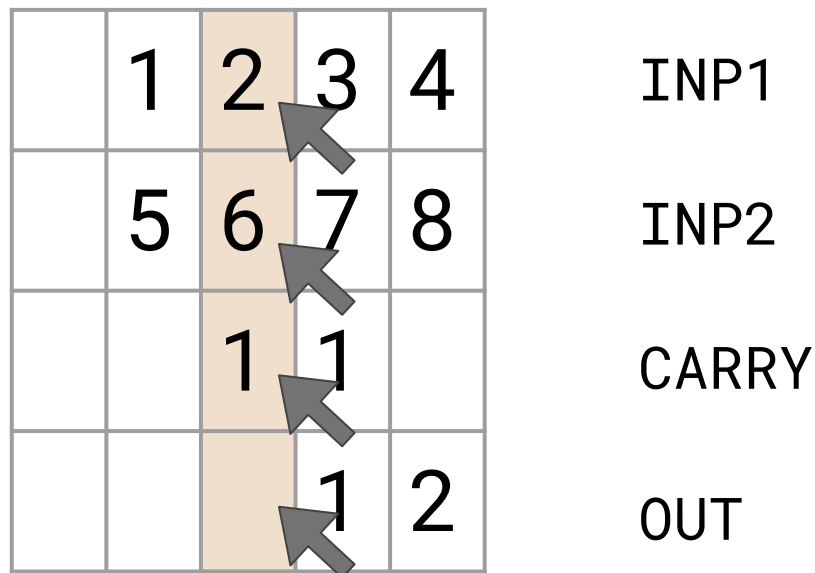


NPI (Reed et al, 2016)

Non-Recursive Grade-School Addition

: change environment : call function








-  ADD
-  ADD1
-  LSHIFT
-  ADD1
-  LSHIFT

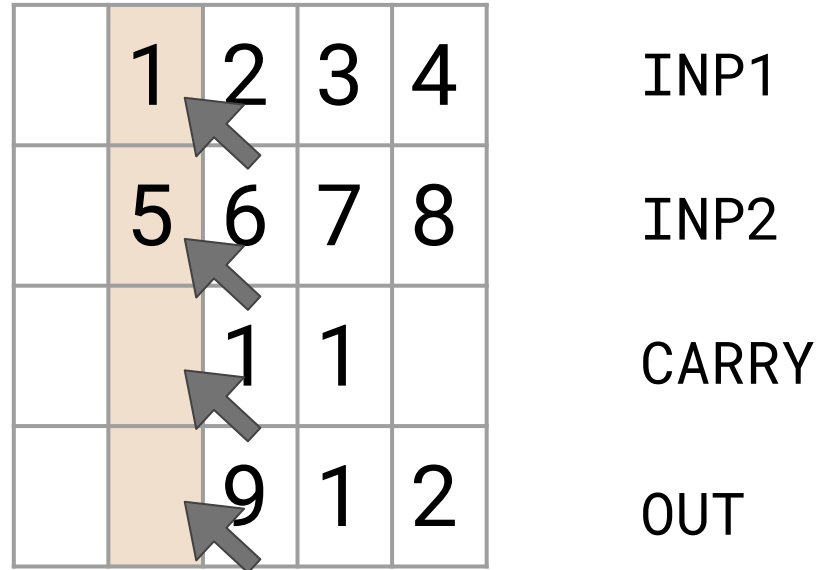


NPI (Reed et al, 2016)

Non-Recursive Grade-School Addition

: change environment : call function










 ADD
 ADD1
 LSHIFT
 ADD1
 LSHIFT
 ADD1
 LSHIFT

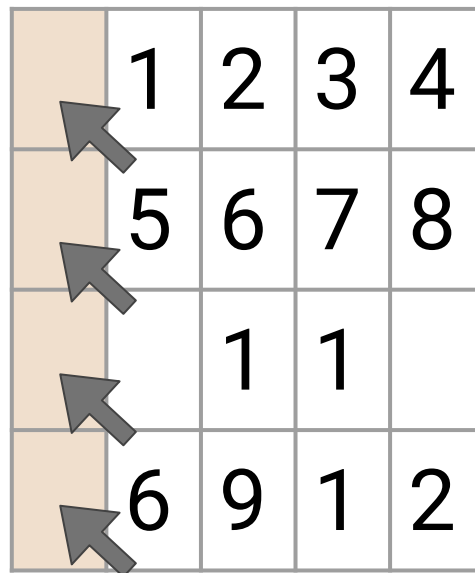


NPI (Reed et al, 2016)

Non-Recursive Grade-School Addition

: change environment : call function

-  ADD
-  ADD1
-  LSHIFT
-  ADD1
-  LSHIFT
-  ADD1
-  LSHIFT
-  ADD1
-  LSHIFT



INP1

INP2










CARRY

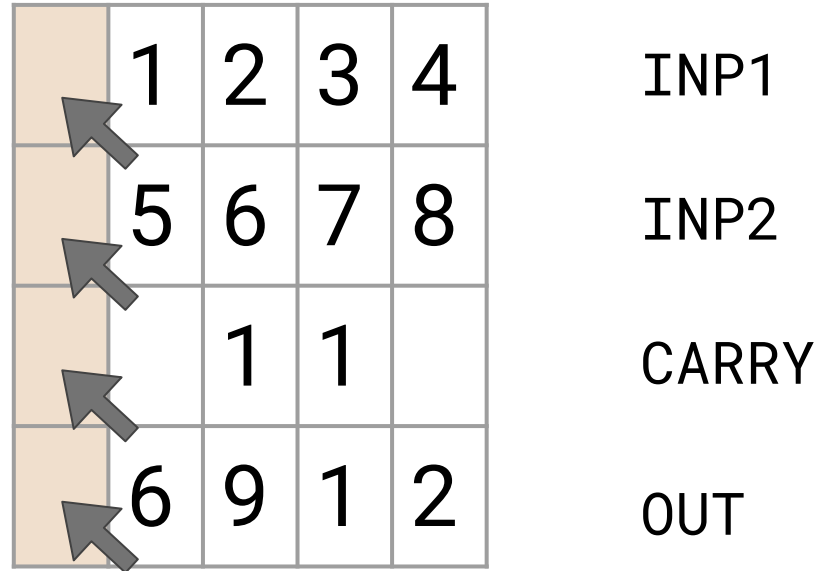
OUT

NPI (Reed et al, 2016)

Non-Recursive Grade-School Addition

: change environment : call function

 ADD
 ADD1
 LSHIFT } Repeated x4 in
 one call
 ADD1
 LSHIFT
 ADD1
 LSHIFT
 ADD1
 LSHIFT



NPI (Reed et al, 2016)

Non-Recursive vs Recursive Grade-School Addition

: change environment : call function

Non-recursive
(previous work)

 ADD

 ADD1

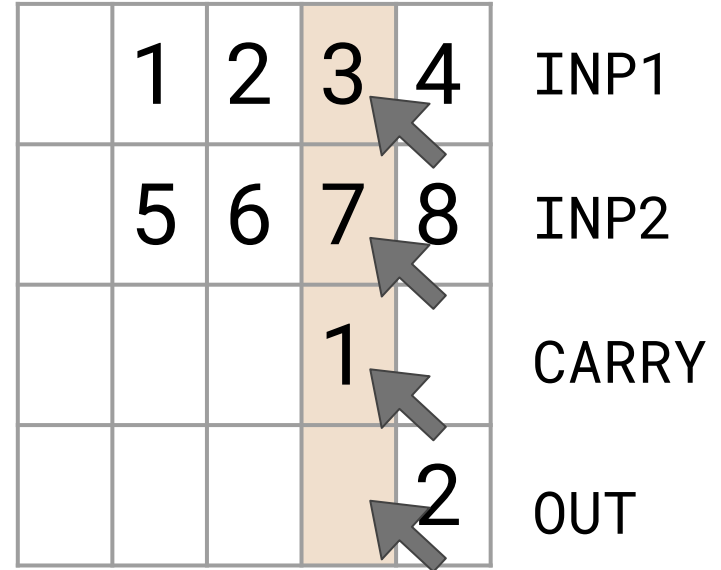
 LSHIFT

Recursive
(our work)

 **ADD**

 ADD1

 LSHIFT



Non-Recursive vs Recursive Grade-School Addition

: change environment : call function

**Non-recursive
(previous work)**

 ADD

 ADD1

 LSHIFT

 ADD1

 LSHIFT

**Recursive
(our work)**

 **ADD**

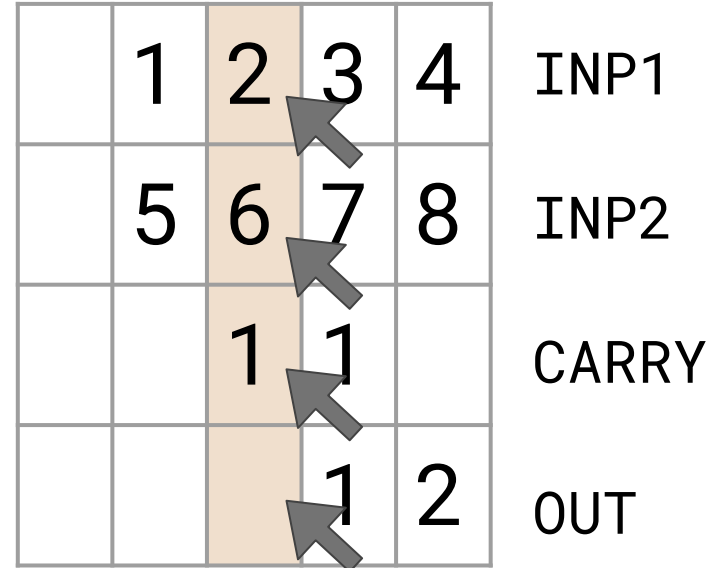
 ADD1

 LSHIFT

 **ADD**

 ADD1

 LSHIFT



Non-Recursive vs Recursive Grade-School Addition

: change environment : call function

**Non-recursive
(previous work)**

 ADD

 ADD1

 LSHIFT

 ADD1

 LSHIFT

 ADD1

 LSHIFT

**Recursive
(our work)**

 **ADD**

 ADD1

 LSHIFT

 **ADD**

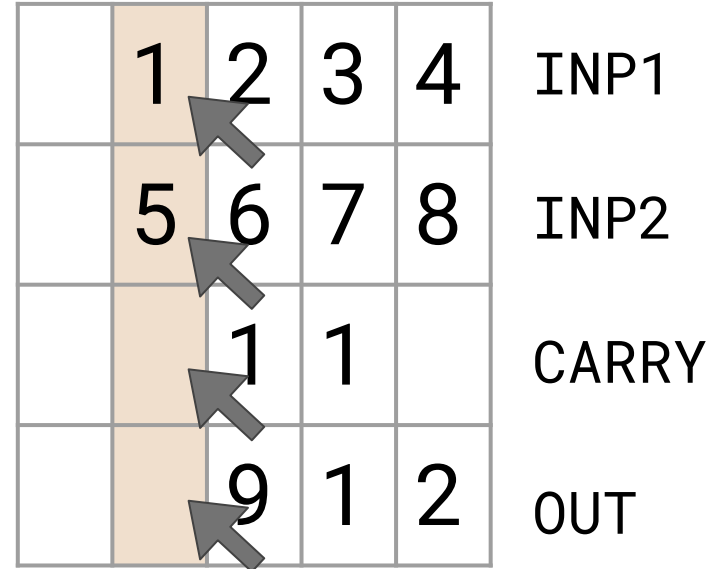
 ADD1

 LSHIFT

 **ADD**

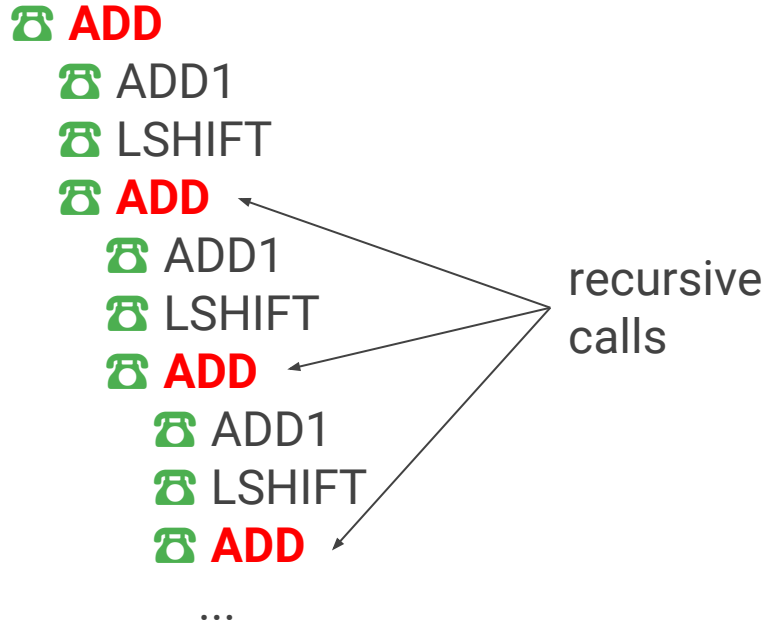
 ADD1

 LSHIFT



Recursive Grade-School Addition

: change environment : call function



	1	2	3	4
	5	6	7	8
		1	1	
	6	9	1	2

INP1

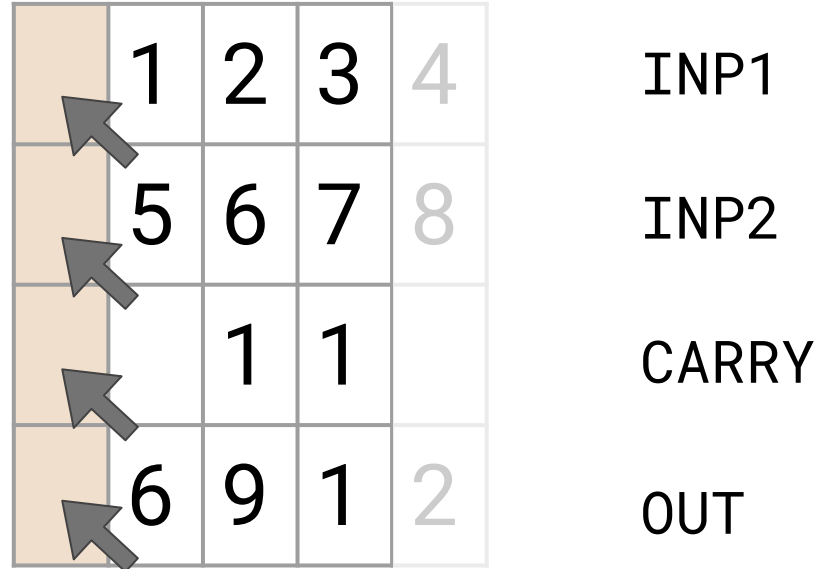
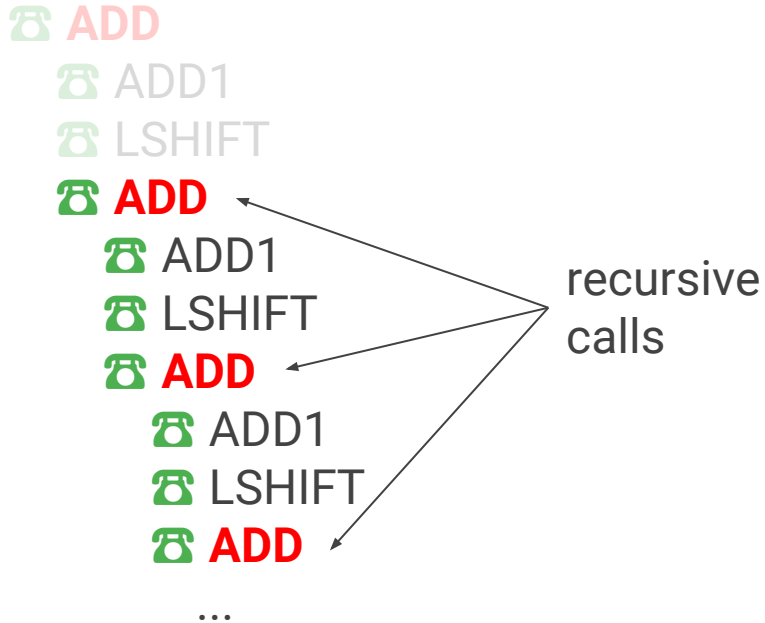
INP2

CARRY

OUT

Recursive Grade-School Addition

: change environment : call function



Non-Recursive vs Recursive Addition

📞 **ADD**
📞 ADD1 } Repeated inside
📞 LSHIFT } **one** function call
📞 ADD1
📞 LSHIFT
📞 ADD1
📞 LSHIFT
...

**Non-recursive
execution trace
(previous work)**

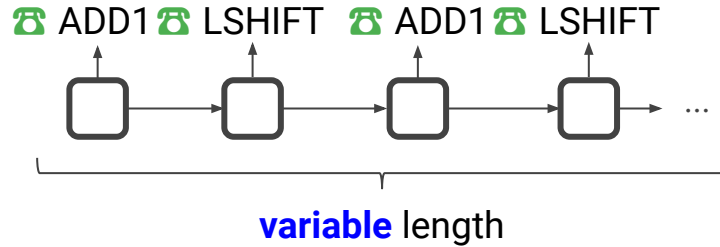
📞 **ADD**
📞 ADD1
📞 LSHIFT
📞 **ADD** ← recursive calls
📞 ADD1
📞 LSHIFT
📞 **ADD** ← recursive calls
...

**Recursive
execution trace
(our work)**

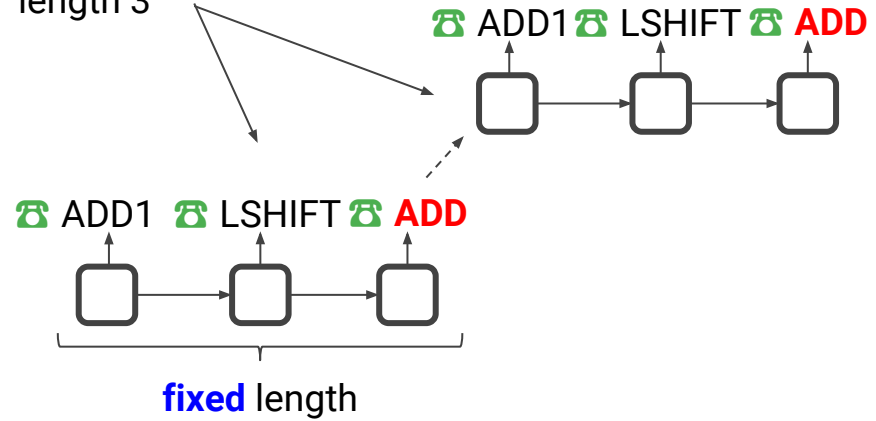
Non-Recursive vs Recursive Addition

n = number of input digits

1 sequence of size $2n$



n sequences with length 3

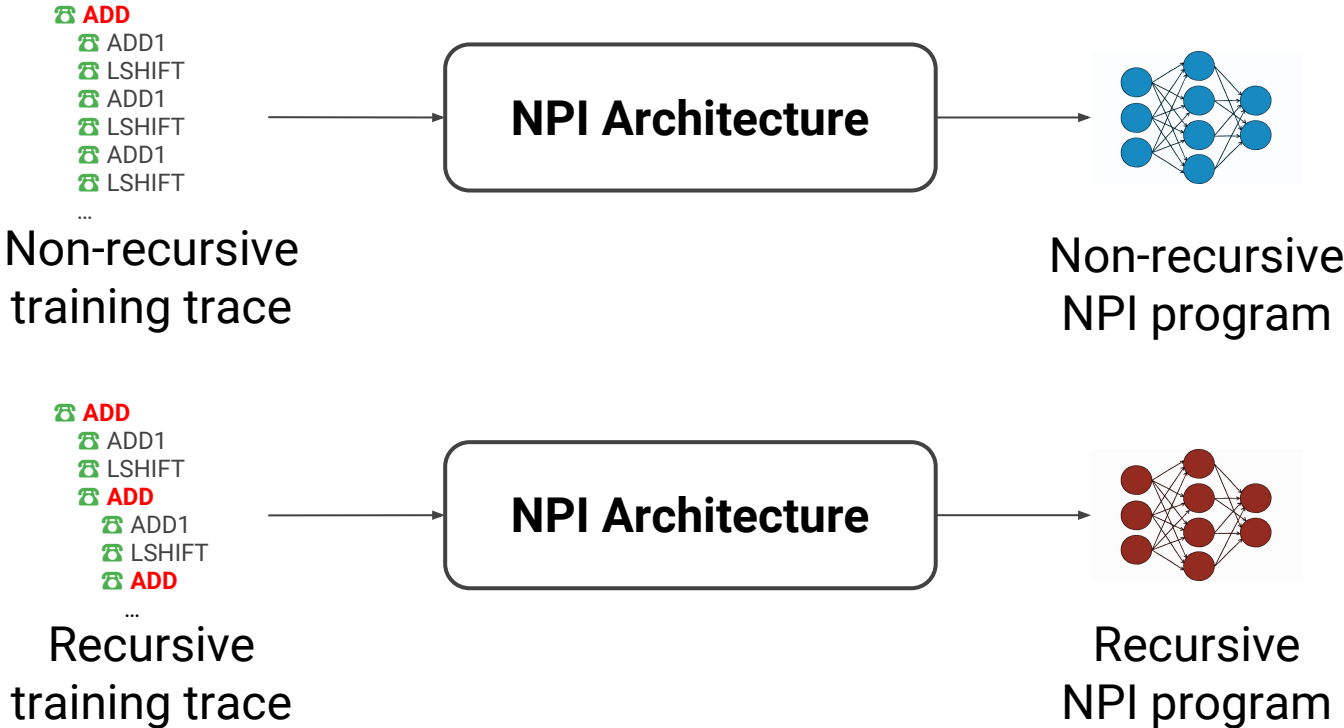


- ✓ Generalization to more complex inputs
- ✓ Proof of generalization

How to Learn a Recursive NPI Program

- In NPI, any function can call any function, including itself
(but original NPI didn't explicitly make use of recursive calls)
- To learn a recursive NPI program:
 - No architecture change
 - Only change the training data, instead of the architecture

How to Learn a Recursive NPI Program



Outline

Challenges in Neural Program Architectures

Overview of Our Approach: Recursion

Background: Neural-Programmer Interpreter

Learning Recursive Neural Programs

→ **Provably Perfect Generalization**

Experimental Results

Conclusion

Verifying Perfect Generalization



Oracle
(correct program behavior)

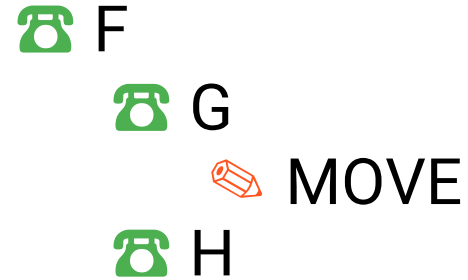
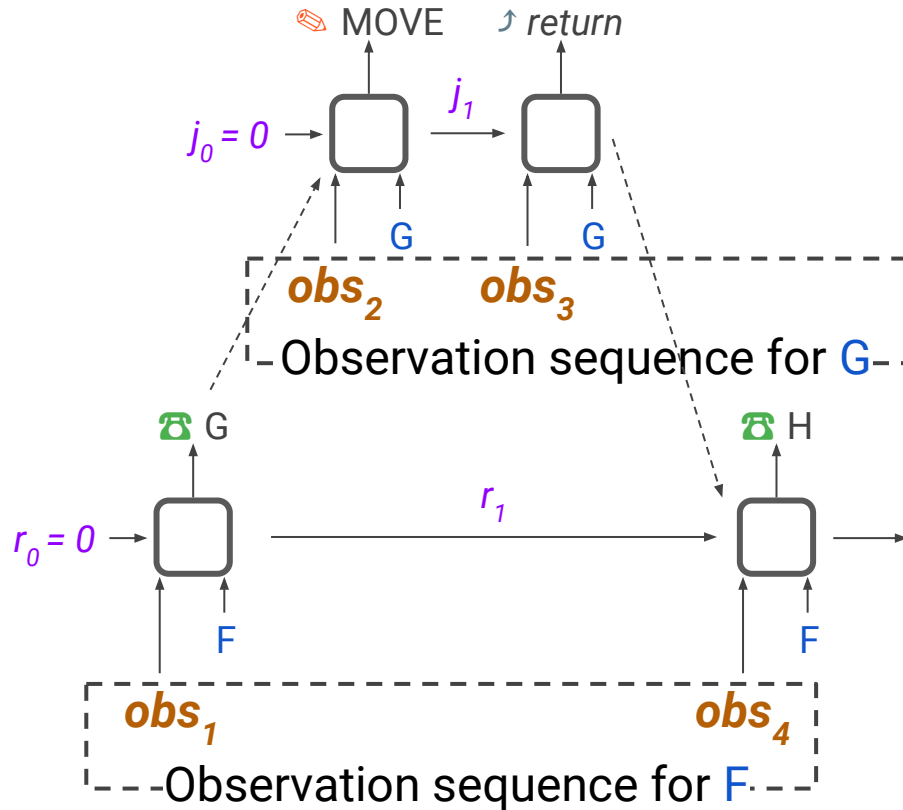


Learned neural program



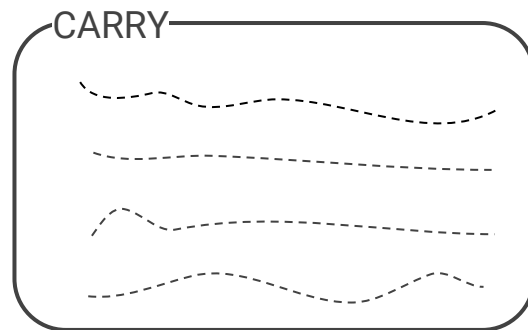
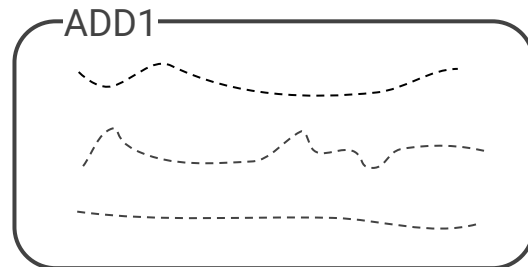
Verifying Perfect Generalization

Observation Sequences



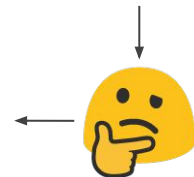
Verifying Perfect Generalization

Creating the Verification Set



other functions...

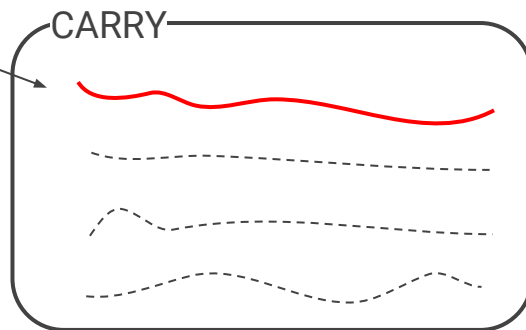
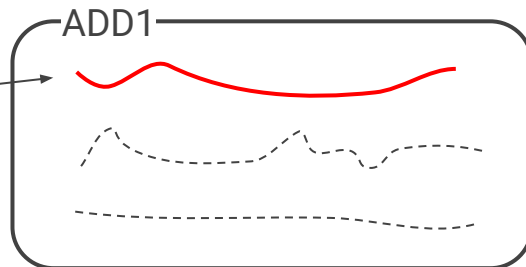
**All feasible
observation sequences**



Verifying Perfect Generalization

Creating the Verification Set

9 + 0



other functions...

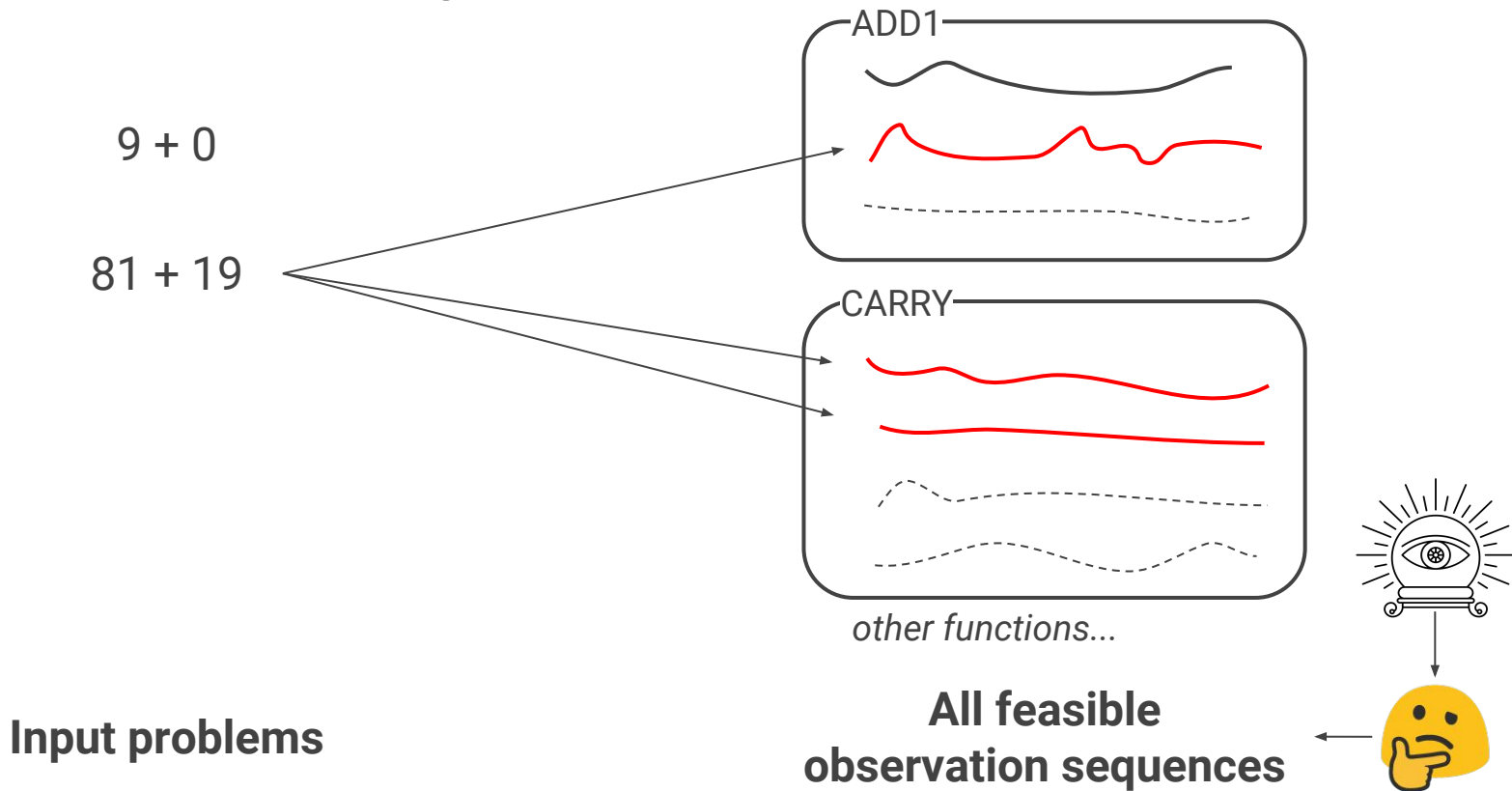
Input problems

**All feasible
observation sequences**



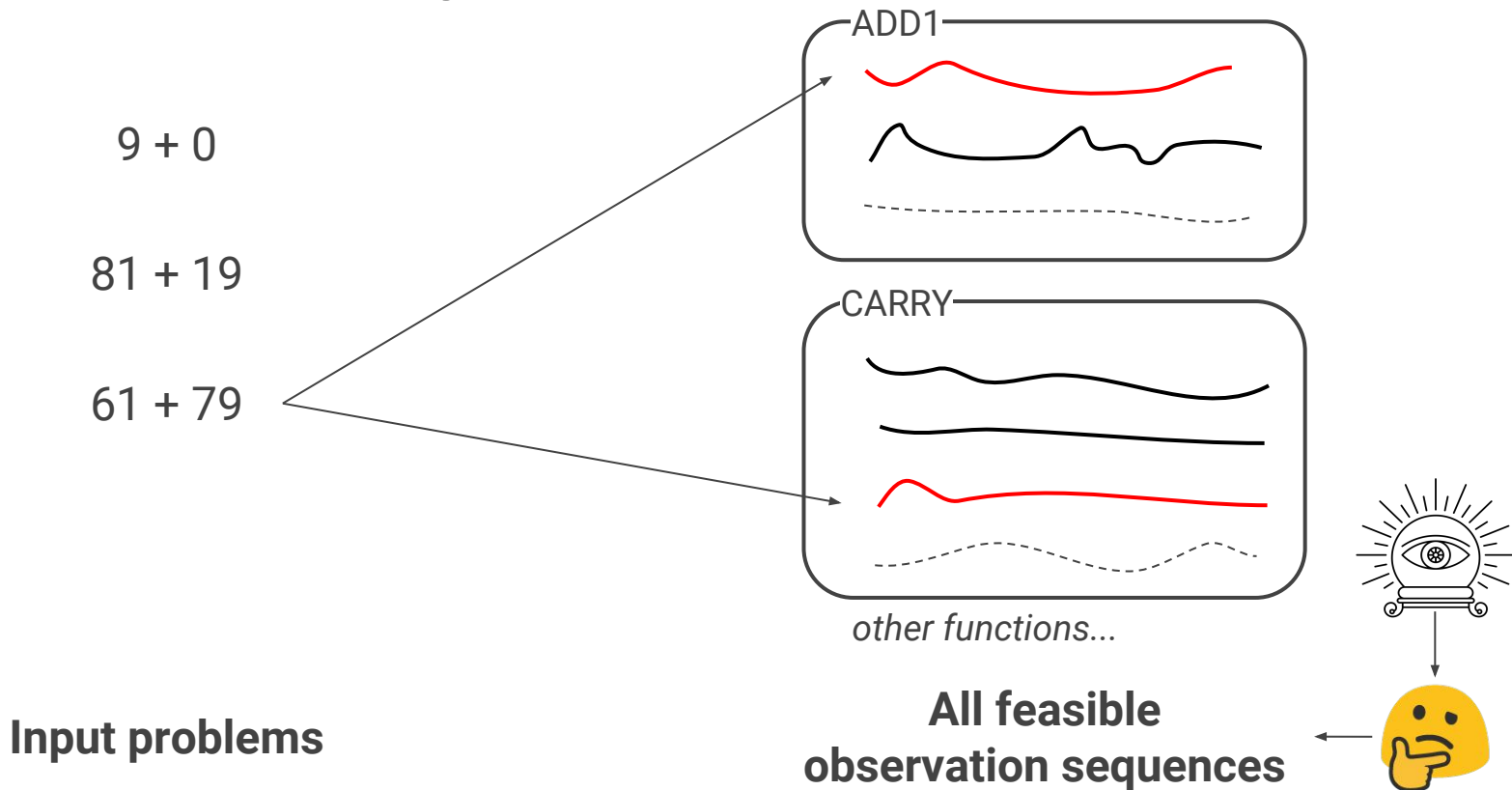
Verifying Perfect Generalization

Creating the Verification Set



Verifying Perfect Generalization

Creating the Verification Set



Verifying Perfect Generalization

Creating the Verification Set

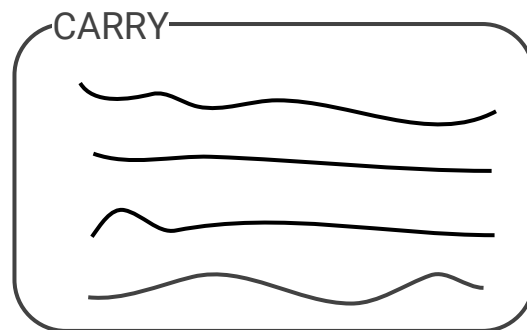
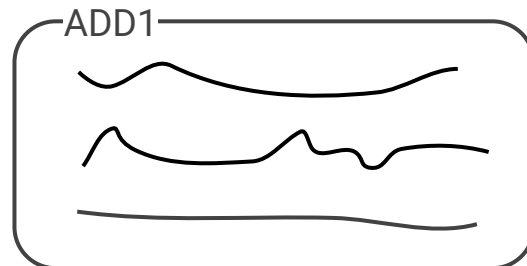
9 + 0

81 + 19

61 + 79

...

Input problems

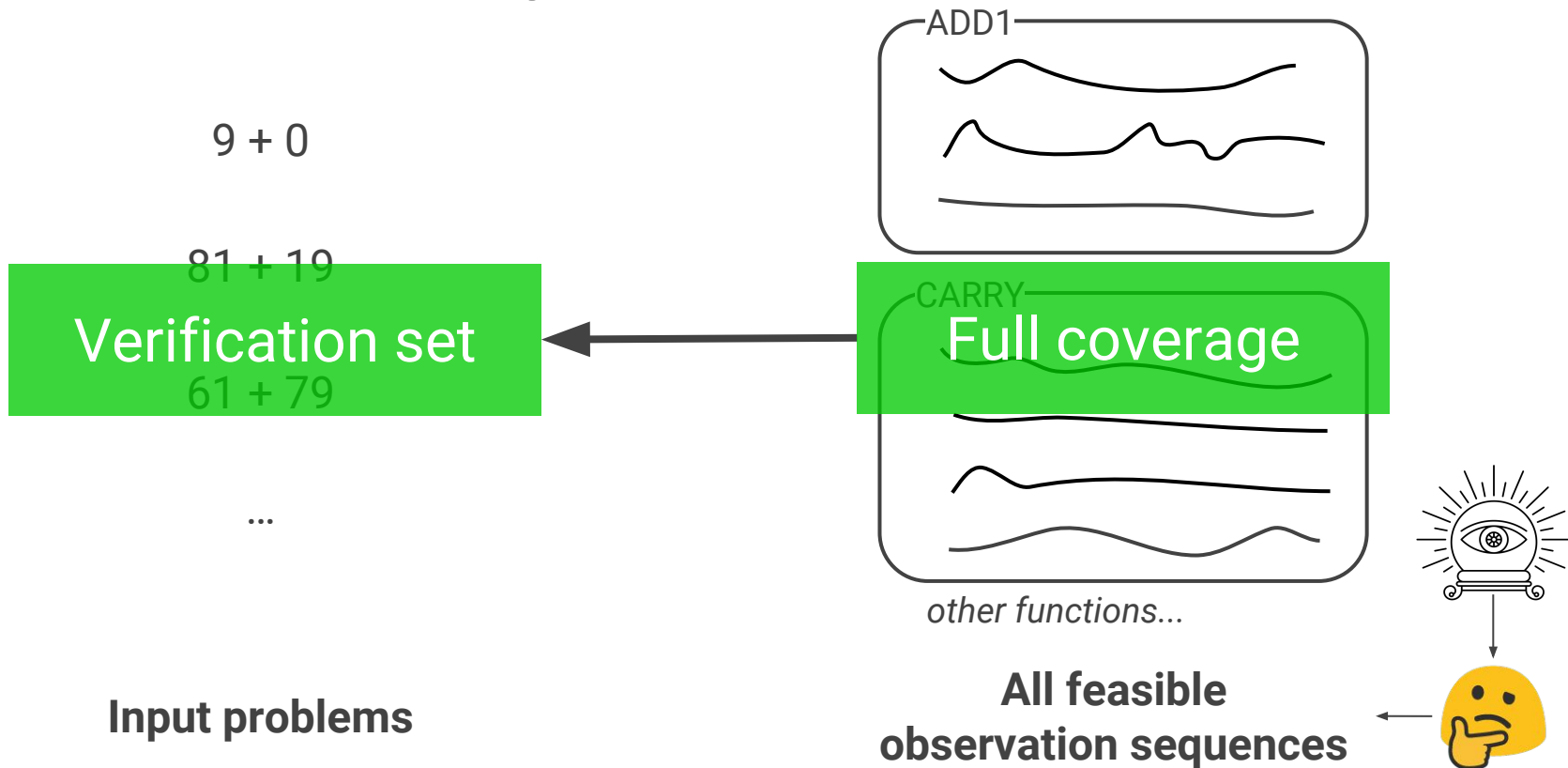


other functions...

**All feasible
observation sequences**



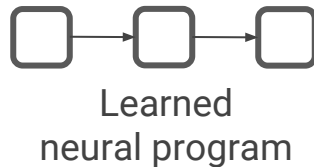
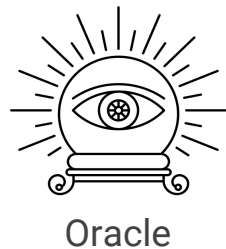
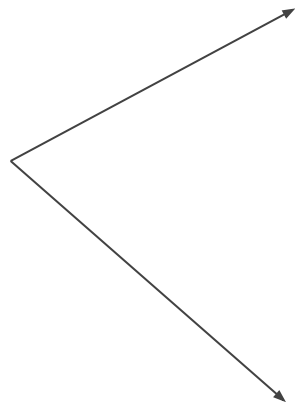
Creating the Verification Set



Verifying Perfect Generalization

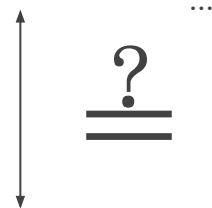
Oracle Matching

9 + 0
81 + 19
61 + 79
...



PTR CARRY LEFT WRITE CARRY 1
...
 ADD1 LSHIFT ADD ↗ return

PTR CARRY LEFT WRITE CARRY 1
...
 ADD1 LSHIFT ADD ↗ return
...

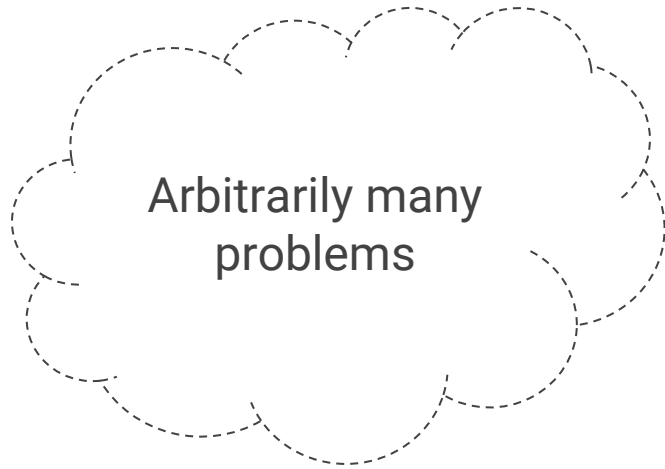


Verification set

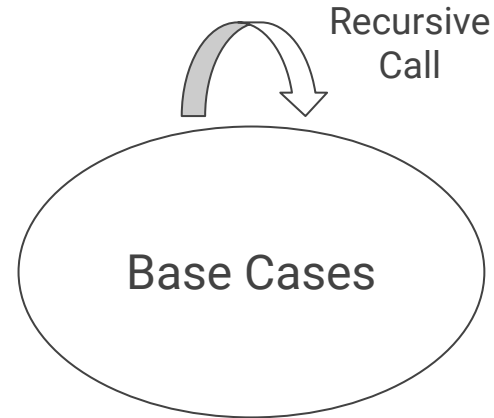
**Output operations
(execution trace)**

Recursion Induces Boundedness

Neural network needs to solve:



**Without recursion
(previous work)**

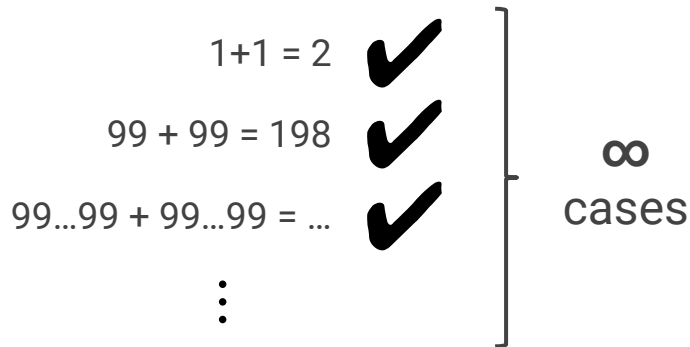


**With recursion
(our work)**

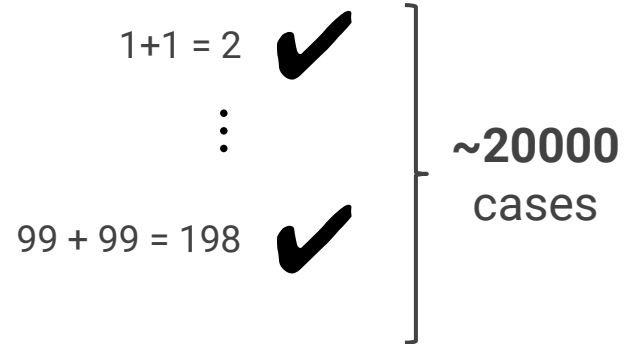
Recursion Enables Verification

Recursion allows for a *finite* (and therefore tractable) verification set, for certain domains.

Verification sets for addition:



**Without recursion
(previous work)**



**With recursion
(our work)**

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Learning Recursive Neural Programs

Provably Perfect Generalization

→ Experimental Results

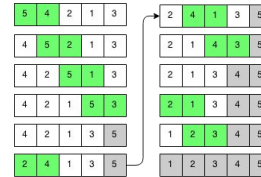
Conclusion

Tasks in Experiments

Grade-School
Addition

$\begin{array}{r} 12 \\ +19 \\ \hline \end{array}$	$\begin{array}{r} 76 \\ +27 \\ \hline \end{array}$	$\begin{array}{r} 44 \\ +36 \\ \hline \end{array}$	$\begin{array}{r} 29 \\ +19 \\ \hline \end{array}$	$\begin{array}{r} 36 \\ +55 \\ \hline \end{array}$	$\begin{array}{r} 33 \\ +67 \\ \hline \end{array}$	$\begin{array}{r} 88 \\ +99 \\ \hline \end{array}$	$\begin{array}{r} 16 \\ +24 \\ \hline \end{array}$
$\begin{array}{r} 56 \\ +68 \\ \hline \end{array}$	$\begin{array}{r} 73 \\ +27 \\ \hline \end{array}$	$\begin{array}{r} 12 \\ +18 \\ \hline \end{array}$	$\begin{array}{r} 45 \\ +59 \\ \hline \end{array}$	$\begin{array}{r} 36 \\ +27 \\ \hline \end{array}$	$\begin{array}{r} 48 \\ +29 \\ \hline \end{array}$	$\begin{array}{r} 51 \\ +49 \\ \hline \end{array}$	$\begin{array}{r} 37 \\ +28 \\ \hline \end{array}$

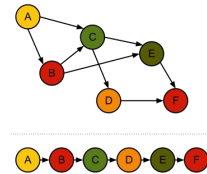
Bubble Sort



Topological Sort

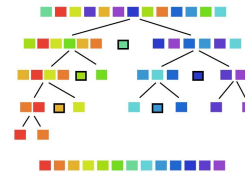
NEW!

TOPOLOGICAL SORT



Quicksort

NEW!



Experimental Results

- Experimental setup:
 - Recursive and non-recursive NPI program learned for each task using the same training problems.
 - Both evaluated on same (randomly generated) test problems.
- Empirical results:
 - Learned recursive programs are **100% accurate** on the test problems.
 - Non-recursive program accuracy often degrades on the test problems.

Empirical Accuracy: Quicksort

Length of Array	Non-Recursive	Recursive
3	100%	100%
5	100%	100%
7	100%	100%
11	73.3%	100%
15	60%	100%
20	30%	100%
30	3.33%	100%
70	0%	100%

Training set: 4 length-5 arrays

Empirical Accuracy: Other Tasks

Bubble Sort

Length	Non-Recursive	Recursive
2	100%	100%
4	10%	100%
20	0%	100%
90	0%	100%

Training set: 100 length-2 arrays

Topological Sort

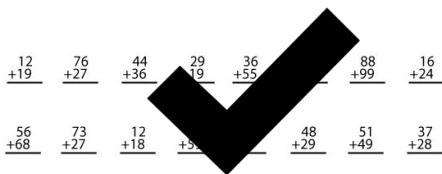
Vertices	Non-Recursive	Recursive
5	6.7%	100%
7	3.3%	100%
8	0%	100%
70	0%	100%

Training set: a graph with 5 vertices

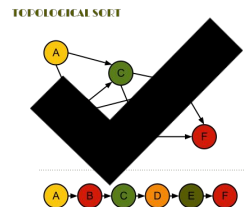
On grade-school addition, both non-recursive and recursive show 100% empirical accuracy (non-recursive matches Reed et al 2016).

Verification of Perfect Generalization

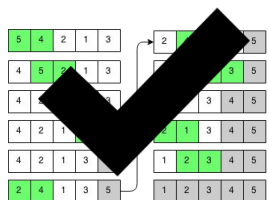
We successfully verified a learned recursive program for each task via the *oracle matching* procedure.



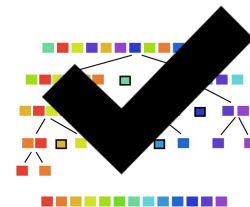
Grade-School Addition



Topological Sort



Bubble Sort



Quicksort

Outline

Challenges in Neural Program Architectures

Overview of Our Approach: Recursion

Background: Neural-Programmer Interpreter

Learning Recursive Neural Programs

Provably Perfect Generalization

Experimental Results

→ **Conclusion**

Importance of Recursion in Neural Program Architectures

- We introduce recursion, for the first time, into neural program architectures, and learn recursive neural programs

Main Contribution!



- We address two main challenges using recursion:
 - Generalization to more complex inputs
 - Proof of generalization

Learning Recursive Neural Programs

- Our first step instantiation:
 - Architecture: Learn recursive programs in NPI
 - Training method: With explicitly recursive execution traces
- Future work and open questions:
 - Extend to other architectures beyond NPI
 - Learn recursive programs with less supervision
 - Without requiring explicitly recursive training traces
 - Input-output examples instead of execution traces
 - Explore other domains such as perception and control

